

**FIG. 1**



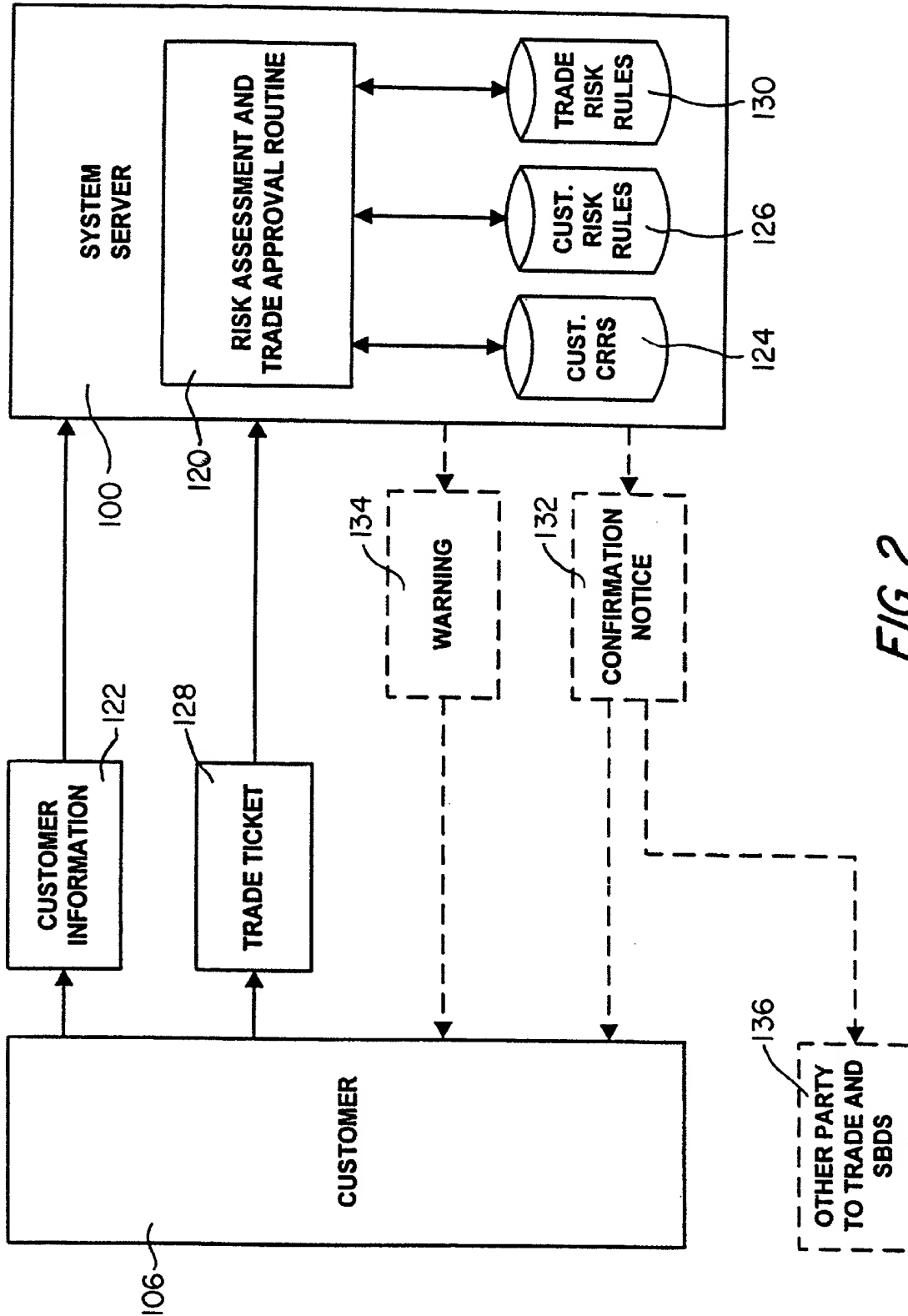


FIG. 2

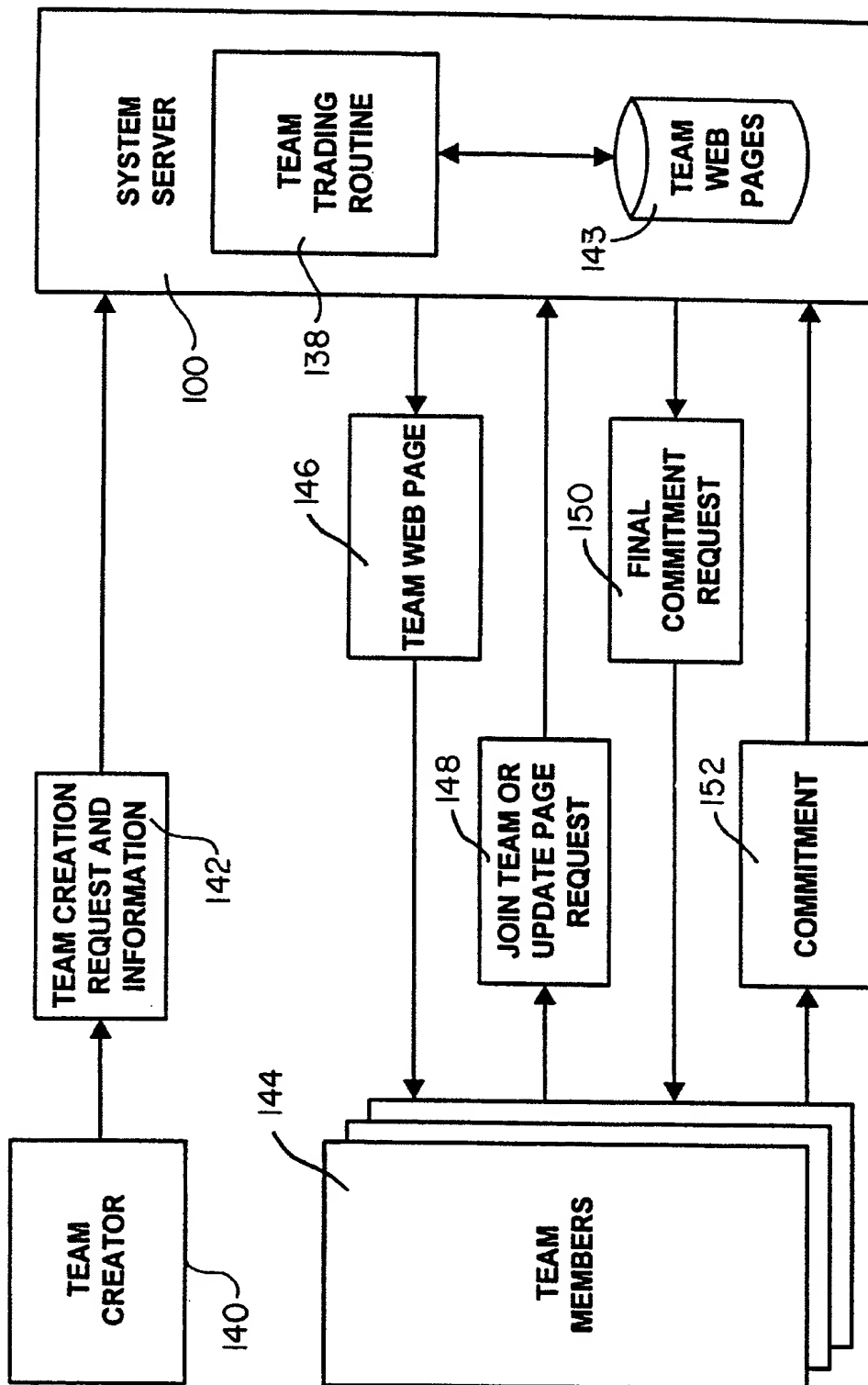
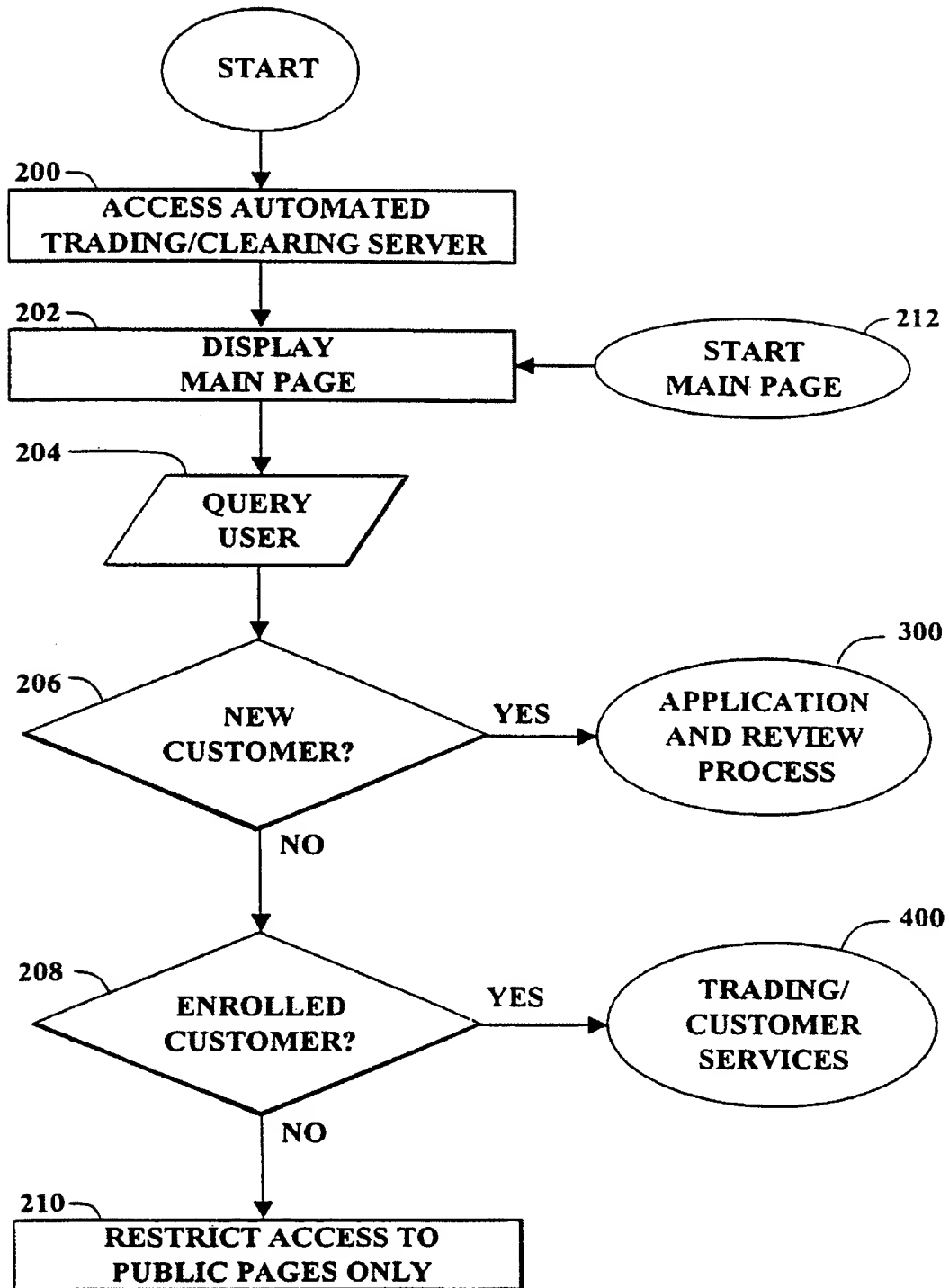


FIG. 3





*FIG. 5*

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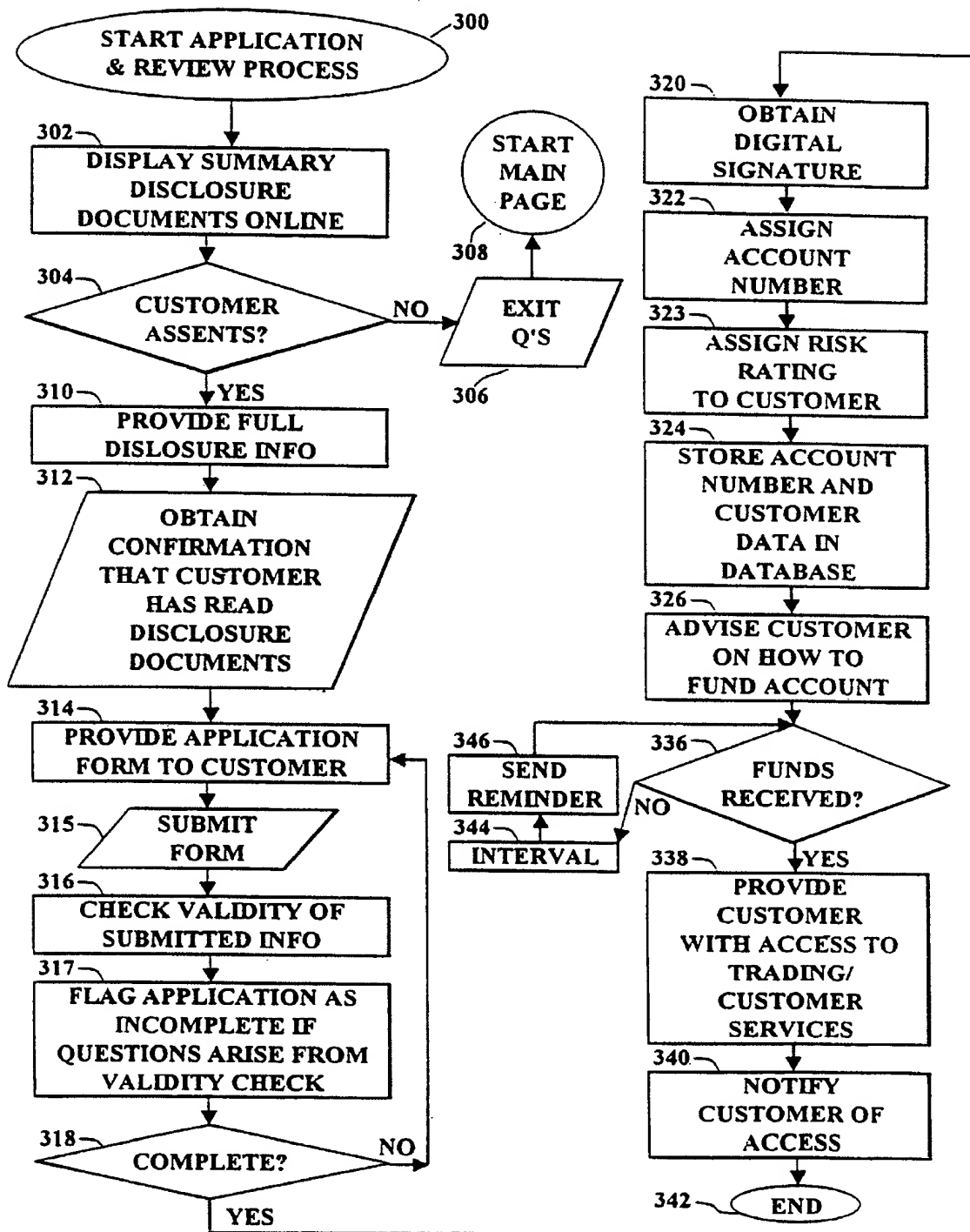


FIG. 6

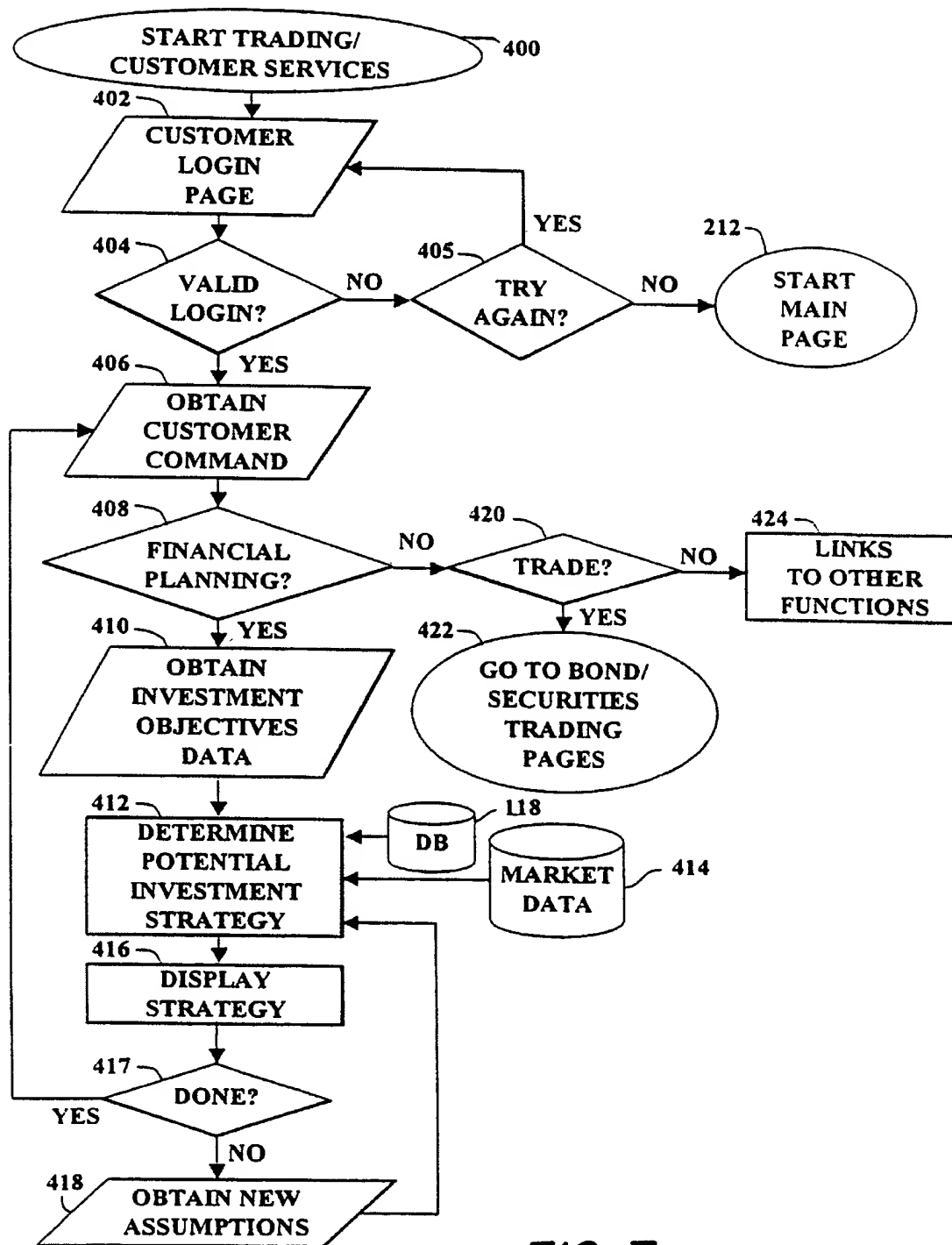


FIG. 7

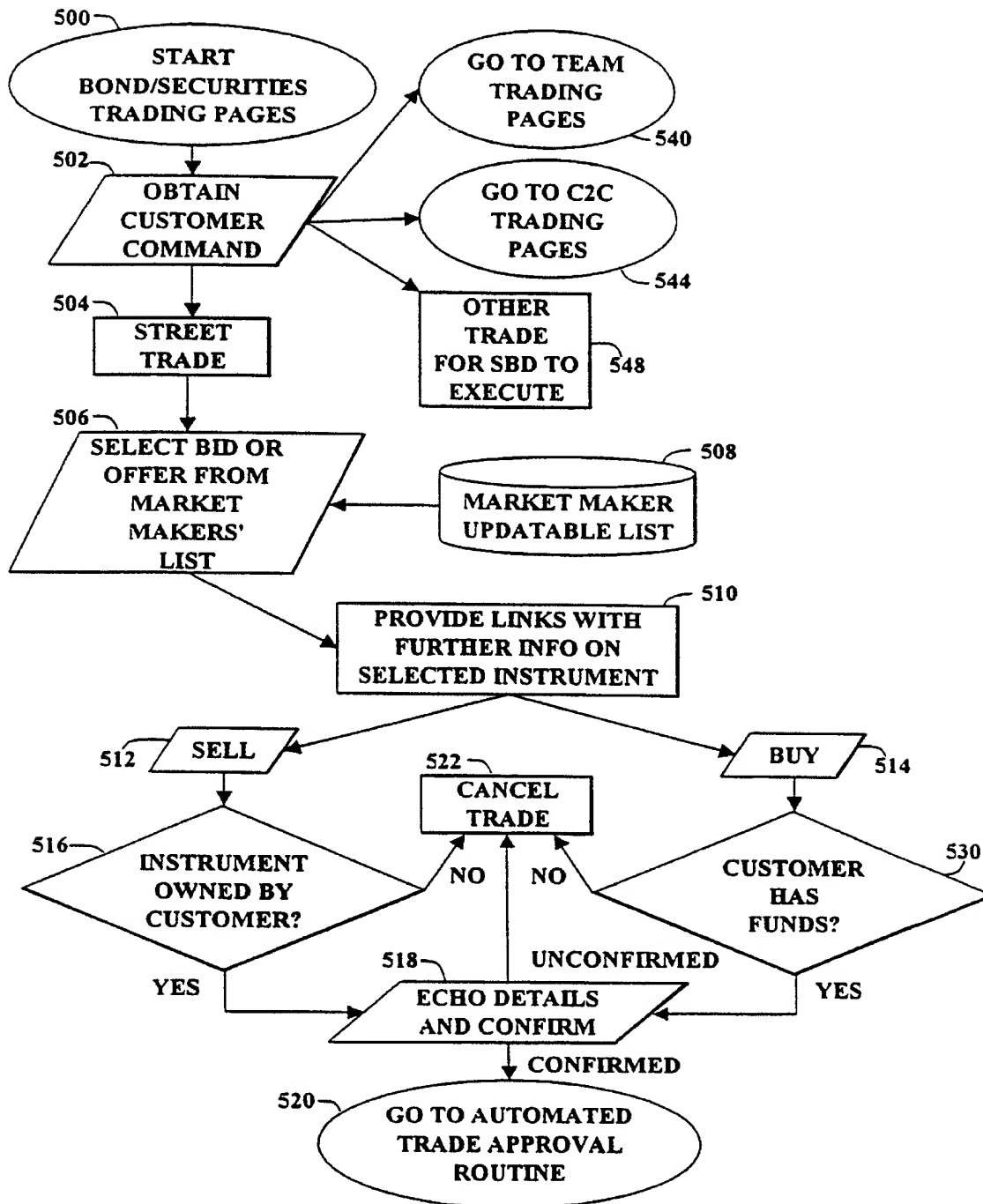


FIG. 8



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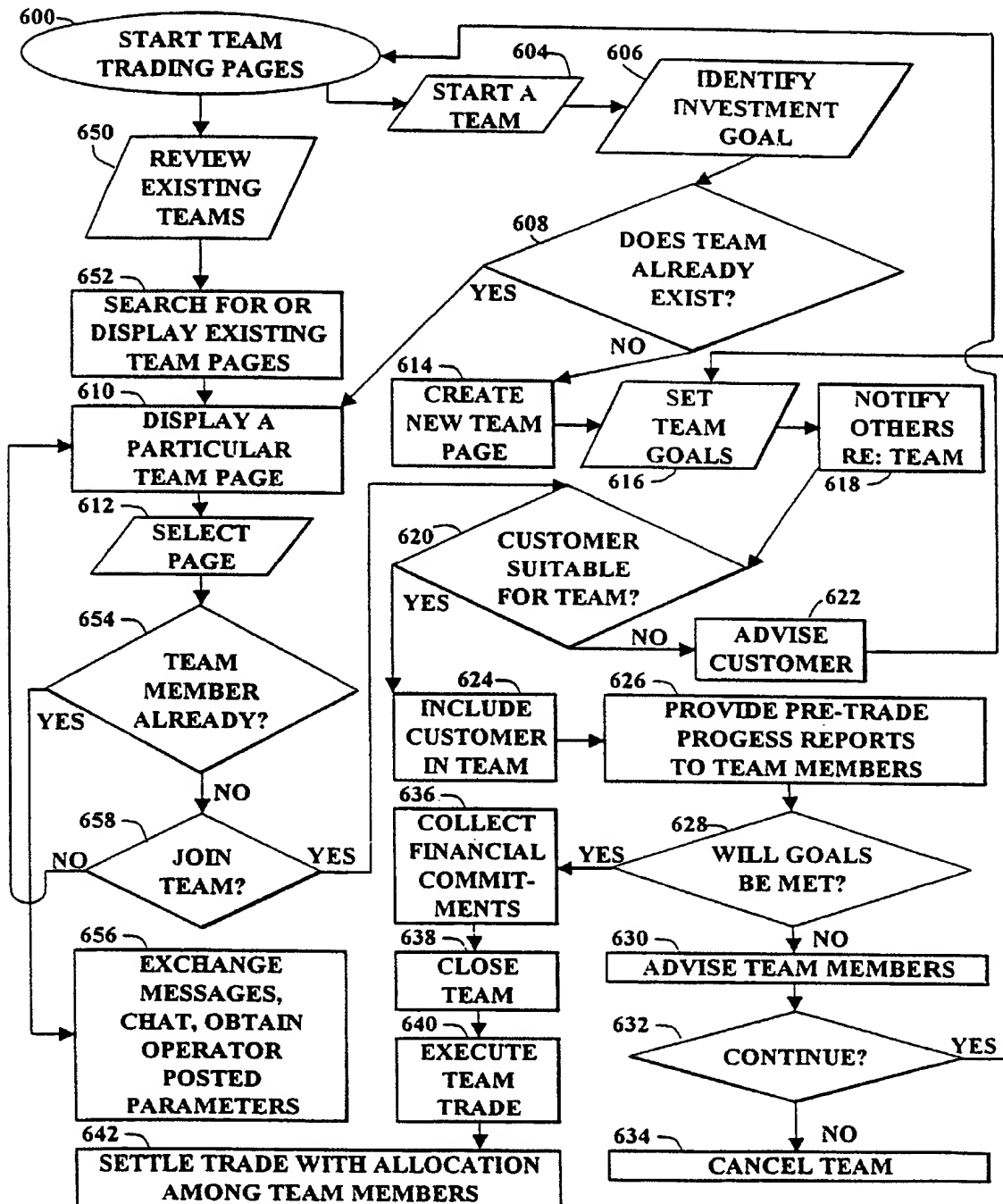


FIG. 9

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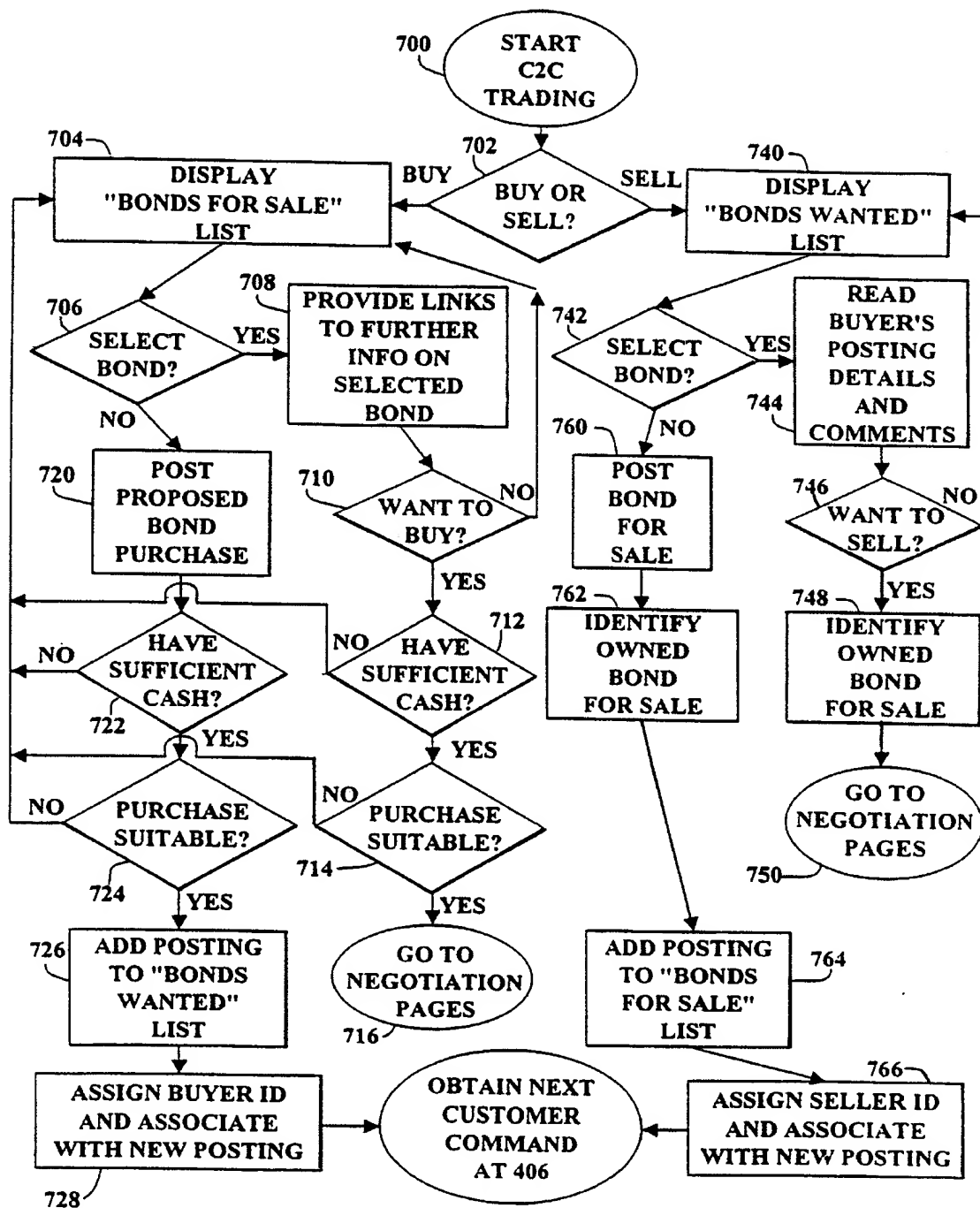


FIG. 10

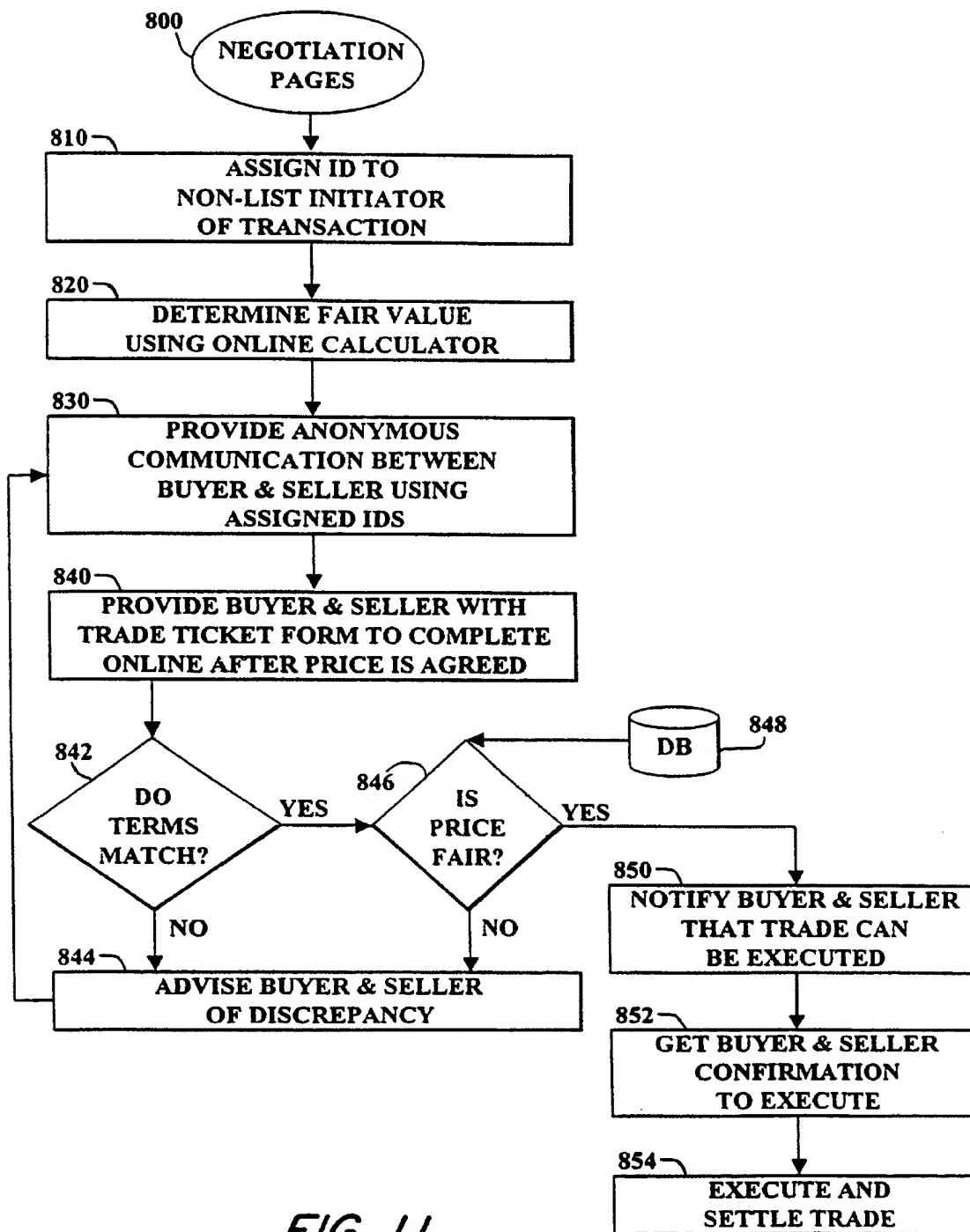


FIG. 11

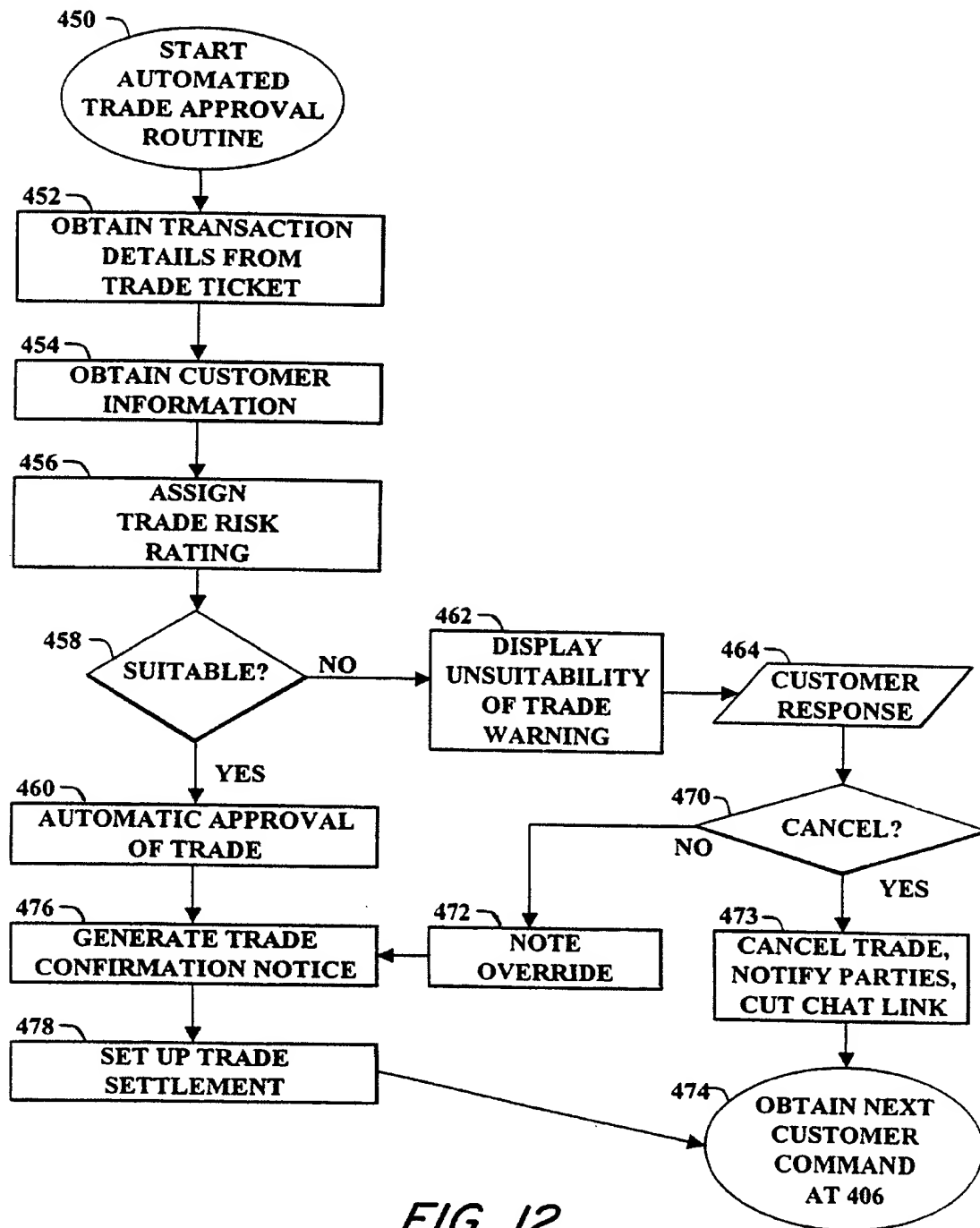


FIG. 12

Replacement Sheet  
 Appln. No. 09/533,088  
 Network-Based Trading System and Method  
 Miral Kim-E  
 SSJR File 03284-P0001B

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Trade No. 588623009		---	1002
Account #	DEF678901234	---	1004
Status	Executed	---	1006
Buy/Sell	Buy	---	1008
Amount	\$10,000	---	1010
Security name	Georgia Power 8% due 1/15/2010	---	1012
Security type	Corp bond	---	1014
CUSIP No.	BF10905305	---	1016
Execution method	C2C	---	1018
Market/Limit	n/a	---	1020
Limit price	n/a	---	1022
Time limit	n/a	---	1024
Order time	n/a	---	1026
Trade date	4/19/1999	---	1028
Settlement date	4/22/1999	---	1030
Price	102.25%	---	1032
Accrued interest	\$215.56	---	1034
Gross amount	\$10,440.56	---	1036
Commission	\$75.00	---	1038
SEC fee	\$0.00	---	1040
Tax	\$0.00	---	1042
Service charge	\$0.00	---	1044
Net amount	\$10,515.56	---	1046
Execution market	C2C	---	1048
Sponsoring BD	X, Y and Z Partners	---	1050

*FIG. 13*

**Team Investing**

1100

Click on the Team Name for more Team info.

Click here to Start a New Team. 1114

1102

Active Teams					
Team Number	Name (click for more details)	Goal	Number Of Members	Current Commitments	Target Execution Date
T01123	The Broke Parents Club	Send our kids to a grossly overpriced college in 10-14 years	42	\$835,000	1/1/2000

1112      1104      1106      1108      1110

**FIG. 14**

If you want to Start a New Team, submit your idea using the form below:

1202

Start a New Team	
Give your Team a name	<input type="text"/>
1204 Action	<input checked="" type="radio"/> Buy a Security or similar category of securities <input type="radio"/> Sell a Security or similar category of securities <input type="radio"/> Implement an Investment or Hedging Strategy
Describe the Team's goal (be brief)	<input type="text"/>
1206 How much are you interested in placing with the Team?	<input type="text"/>
1208	Cash or market value of securities
When would you like to have the Team execute a trade?	<input type="text"/>
	Specify a date or timeframe
1210 Your Name	<input type="text"/>
Account Number	<input type="text"/>
E-mail Address	<input type="text"/>
<input type="button" value="Submit My Team Idea"/> <input type="button" value="Reset"/>	

1200 1216 1214 1212

FIG. 15

## Bonds For Sale

1300

These securities are being offered for sale by other Customers. All sellers must own the securities being offered in their account. All buyers must have sufficient cash in their account to fully pay for the purchase, including any commissions.

If you are interested in purchasing anything listed here, contact the seller directly to start negotiation of price.

Bonds For Sale								
ID No.	Issuer	Amount	Ratings	Coupon	Maturity	Call Date	Call Price	Seller ID (Click to negotiate)
BF109053 05	Georgia Power	\$10,000	A/a2/A	8%	1/15/2010	1/15/ 1999	104.25	ZZZ12345

If you want to buy, but nothing here suits your needs, **CLICK HERE** to post your buying interest on the Bond Wanted List.

1318

FIG. 16



**1400**

**If you are interested in selling your bonds to a buyer listed here, contact the buyer directly to start negotiation of price.**

<b>Bonds Wanted</b>							
<b>ID No.</b>	<b>Issuer or Description</b>	<b>Amount</b>	<b>Rating Range</b>	<b>Maturity Range, yrs</b>	<b>Coupon Range</b>	<b>Callable?</b>	<b>Buyer ID (Click to negotiate)</b>
BW109 04999	Electric or Telephone utility	\$10,000	A AA	10 20	5% 9%	Yes	ABC111222333

**If you want to sell, but these listing are not of interest, [CLICK HERE](#) to post your selling interest on the Bond For Sale List.**

1418

FIG. 17

**1500**

<b>Post a "Bond For Sale" Notice</b>		
<b>Your information (except personal info) will be posted exactly as typed.</b>		
<b>Please check it before submitting.</b>		
1502	Issuer or Description	<input type="text"/>
1504	Amount	<input type="text"/>
1506	Ratings	<input type="text"/> S&P/Moody's/Fitch
1508	Coupon	<input type="text"/>
1510	Maturity	<input type="text"/>
1512	Call Date	<input type="text"/> ("nc" if noncallable)
1514	Call Price	<input type="text"/> ("nc" if noncallable)
1516	Your Name	<input type="text"/>
	Account Number	<input type="text"/>
	E-mail Address	<input type="text"/>
		<input type="button" value="Post This"/>
		<input type="button" value="Reset"/>

1520      1518

**FIG. 18**

**1600**

<b>Post a "Bond Wanted" Notice</b> Your information (except personal info) will be posted exactly as typed. Please check it before submitting.	
1602	Issuer or Description <input type="text"/>
1604	Amount <input type="text"/>
1606	<b>Ratings Range</b> Minimum <input checked="" type="radio"/> AAA <input type="radio"/> AA <input type="radio"/> A <input type="radio"/> BBB <input type="radio"/> BB or less Maximum <input checked="" type="radio"/> AAA <input type="radio"/> AA <input type="radio"/> A <input type="radio"/> BBB <input type="radio"/> BB or less
1610	<b>Maturity Range</b> Min yrs <input type="text"/> Max yrs <input type="text"/>
1608	<b>Coupon Range</b> Min % <input type="text"/> Max % <input type="text"/>
1612	<b>Callable</b> <input checked="" type="radio"/> Yes <input type="radio"/> No
1616	<b>Your Name</b> <input type="text"/>
	<b>Account Number</b> <input type="text"/>
	<b>E-mail Address</b> <input type="text"/>
<div>Post This</div> <div>Reset</div>	

1620      1618

**FIG. 19**

## Order Form

**1700**

You are placing a firm order for execution by MEK.  
Please provide complete information.

What is your account number? <input type="text"/>		1704
What do you want to do? <input checked="" type="radio"/> Buy <input type="radio"/> Sell		1708
How much? <input type="text"/>		1710
Will you accept less than this amount? <input checked="" type="radio"/> Yes <input type="radio"/> No		1711a
If Yes: What is the minimum amount you will accept? <input type="text"/>		1711b
And, in what minimum increments? <input type="text"/>		1711c
What security? <input checked="" type="radio"/> 1714		
<input checked="" type="radio"/> Corporate Bond <input type="radio"/> U.S. Agency Bond		
<input type="radio"/> Municipal Bond <input type="radio"/> Common stock		
<input type="radio"/> U.S. Treasury Bond <input type="radio"/> Stock option		
If a bond:		
Issuer name: <input type="text"/>	Coupon, %: <input type="text"/>	
Maturity: <input type="text"/>		
Callable? <input checked="" type="radio"/> Yes <input type="radio"/> No	If Yes, first call date: <input type="text"/>	
CUSIP number: <input type="text"/>		
If a stock or stock option:		
Company name: <input type="text"/> or ticker symbol: <input type="text"/>		
If a stock option:		
Type: <input checked="" type="radio"/> Call <input type="radio"/> Put		
Strike price: <input type="text"/>	Expiration month: <input type="text"/>	
At what price?		
<input type="checkbox"/> Market	<input type="checkbox"/> Limit price: <input type="text"/>	<input type="checkbox"/> Stop price: <input type="text"/>
1720	1722	1723
When?		
<input checked="" type="radio"/> Day <input type="radio"/> Market open <input type="radio"/> Market close <input type="radio"/> GTC - Good 'Til Cancelled		
If GTC, please specify a "Drop Date" <input type="text"/>		
1724		

Send order to MEK

Clear this order

FIG. 20